

CIRCULAR

NSE

Commodity Derivatives - Settlement Schedule For JAN 2021

Applicability of Short-Term Additional Surveillance Measure (ST-ASM)

Applicability of Additional Surveillance Measure (ASM)

Revised List of Approved Securities and Approved Banks

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

SAT Order in the matter of New Delhi Television Limited in respect of Quantum Securities Private Limited

Penalty structure for Non closure of Client Collateral / Client Margin Trading Securities demat accounts

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

List of Securities moving to Stage III of Graded Surveillance Measure (GSM)

SEBI Order in the matter of Esteem Bio Organic Food Processing Limited

SEBI Order in the matter of IPO of HPC Biosciences Limited

Segmental surrender of Membership

SEBI Order in the matter of Eco Friendly Processing Limited

SEBI Order in the matter of IPO of Channel Nine Entertainment Limited

SEBI Addendum Order in the matter of KLG Capital Services Limited

BSE

Change of Name pursuant to Conversion of membership

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Applicability of Additional Surveillance Measure

SAT Order in the matter Quantum Securities Private Limited

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Penalty structures for Non-closure of Client Collateral / Client Margin Trading Securities demat accounts

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Applicability of Additional Surveillance Measure

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Order in the matter of Esteem Bio Organic Food Processing Limited

Order in the matter of IPO of HPC Biosciences Limited

Order in the matter of Eco Friendly Food Processing Limited



Peak Client Margin Reporting for CP trades

ICEX

PENALTY STRUCTURE FOR LATE / NON SUBMISSION OF UNDERTAKING TO FREEZE THE BANK ACCOUNT PURSUANT TO SOP IN THE CASES OF TRADING MEMBER LEADING TO DEFAULT

GUIDELINES FOR MAINTAINING CLIENT BANK ACCOUNTS BY THE TRADING MEMBERS

NCDEX

Guidelines for maintaining client & settlement bank accounts by the Trading Members

Penalty Structure for Late/Non submission of undertaking to freeze the bank account pursuant to SOP in cases of Trading Member leading to default

NSDL

No Circular

NSE IFSC

No Circular

INDIA INX

Issue of U.S.\$300,000,000 3.0 per cent. Senior Secured Notes due 2031 by Adani International Container Terminal Private Limited

SEBI

"SEBI Order for Compliance" - Notice of Attachment of Bank Account(s) under RC No. 2148 of 2019 against Mr. Amit Sehgal
In the matter of Esteem Bio Organic Food Processing Limited

Order in the matter of MPF Systems Ltd

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